

**REQUEST FOR EXPRESSIONS OF INTEREST
AND STATEMENTS OF QUALIFICATIONS IN BEING CONSIDERED FOR
APPOINTMENT AS AN
INDEPENDENT PRIVATE SECTOR INSPECTOR GENERAL**

The Waterfront Commission of New York Harbor is an instrumentality of the States of New York and New Jersey, created by an Act of the United States Congress in 1953. The statutory mandate of the Commission is to investigate, deter, combat and remedy organized criminal activity and influence in the Port of New York and to ensure fair hiring and employment practices, so that the Port and region can grow and prosper. One of the important administrative functions of the Commission is to investigate and license stevedoring companies that employ longshorepersons in the Harbor.

The Commission is seeking to develop a list of qualified Independent Private Sector Inspectors General (IPSIGs) to provide monitoring services for specified stevedoring companies on as-needed basis. To that end, the Commission seeks Expressions of Interest and Statements of Qualifications from any applicant wishing to act as an IPSIG for stevedoring companies licensed by the Commission and operating in the Port. The Commission is looking for an IPSIG with legal, auditing, investigative and other technical skills to oversee the operations of stevedoring companies, and to ensure compliance with the Waterfront Commission Act and with other relevant laws and regulations.

The IPSIG will establish and maintain internal controls designed to deter unethical or illegal conduct, and will report any unethical or illegal conduct observed to the Commission. The IPSIG will examine the operations of stevedoring companies to ensure that they are run effectively without fraud, criminal influence, improper accounting and/or hiring practices, or other malfeasance. The Commission may require a stevedoring company to retain an IPSIG pursuant to a responsibility agreement or stipulation with the Commission, in order for that stevedoring company to continue to operate in the Port. Upon selection by the Commission, the IPSIG will be hired by the stevedoring company but will report directly to the Commission, with the stevedoring company retaining responsibility for payment of the IPSIG's services.

Qualified applicants must submit two copies of the attached form entitled Statement of Qualifications, along with any other documents the applicant believes are relevant to its Statement of Qualifications. The submission should be made to General Counsel Phoebe S. Sorial, Waterfront Commission of New York Harbor, 39 Broadway - 4th Floor, New York, New York 10006. A Statement of Qualifications may be submitted by an individual, a firm, or on behalf of a group of firms or other entities interested in furnishing IPSIG services together. The Commission will maintain a list of all of the entities that submit a Statement of Qualifications and will contact individual applicants for additional information as each is considered for specific engagements based upon their responses.

For more information about the Commission or for a copy of the Waterfront Commission Compact or Rules and Regulations, please visit our website at www.waterfrontcommission.org. If you have any questions please contact General Counsel Phoebe S. Sorial at psorial@wcnvh.org or (212) 905-9202.

**STATEMENT OF QUALIFICATIONS
FOR ENTITIES WISHING TO BE APPOINTED AS AN
INDEPENDENT PRIVATE SECTOR INSPECTOR GENERAL
BY THE WATERFRONT COMMISSION OF NEW YORK HARBOR**

FIRM NAME: _____

ADDRESS: _____

EIN#: _____

TELEPHONE #: _____

FAX#: _____

WEB SITE: _____

CONTACT PERSON: _____

TELEPHONE#: _____ **CELL#:** _____

E-MAIL ADDRESS: _____

List all Managing Directors/Partners who will be involved with IPSIG matters:

**List all Project Managers who will work directly on Waterfront Commission IPSIG matters.
Include their area(s) of expertise:**

List any and all prior IPSIG assignments performed by the firm in the last five years, including the government entity that appointed the firm, a contact person and telephone number from that governmental entity, the name of the monitored entity and a brief description of the scope of the project:

Identify any consultants used in the engagements listed above and/or who you expect to use in connection with future Waterfront Commission IPSIG appointments:

Submit a schedule of the firm's proposed fees with this Statement of Qualifications. Please include any discounted government rates.

Identify the firm's area(s) of special expertise on the attached chart.

Completed by: _____
(Print name)

Title

Date

IMPORTANT NOTE:

SHOULD ANY OF THE ABOVE INFORMATION CHANGE, YOU MUST PROVIDE UPDATED INFORMATION TO THE WATERFRONT COMMISSION OF NEW YORK HARBOR.

